

No.: ED171031959C002	Date: November 07, 2017 Pag	ge 1 of 36
Applicant Address	: USC056 :	
Sample Name Style/Item No. Sample Received Date Testing Completed Date	<ul> <li>BALI portable humidifier</li> <li>CC178152, HW102</li> <li>October 31, 2017</li> <li>November 07, 2017</li> </ul>	
Test Requested	<ul> <li>As requested by client, to evaluate the compliance of the submitted so with the Directive 2011/65/EU and amendment directive 2015/863/EU European Parliament and of the Council of 8 June 2011 on the restrict the use of certain hazardous substances in electrical and electronic equipment.</li> </ul>	J of the
Test Method	<ul> <li>1. Review was performed for the sample and the related Bill of Matersubmitted by the Applicant.</li> <li>2. a) To refer to the standard IEC 62321-3-1:2013: Screening by XI Spectroscopy.</li> <li>b) Wet chemical test <ol> <li>to refer to IEC 62321-5: 2013, determine the Cadmium, Lecontent by ICP-OES.</li> <li>to refer to IEC 62321-4: 2013, determine the Mercury cont ICP-OES.</li> <li>to refer to IEC 62321-7-1:2015 &amp; IEC 62321-7-2:2017, determine the Hexavalent Chromium content by UV-VIS.</li> <li>to refer to IEC 62321-6:2015, determine the Polybrominatt Biphenyls and Polybrominated Diphenyl Ethers by GC-MS</li> </ol> </li> </ul>	RF ead tent by termine
Test Results	: Please refer to next page (s).	





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#### **Conclusion:**

Basing on the test results obtained from the homogenous materials, the submitted sample **COMPLIES** with the requirements stated in the Annex II of RoHS Directive 2011/65/EU and amendment directive 2015/863/EU.

Prepared by: <u>herry</u> Cherry Zhu Report Engineer Reviewed by: <u>Carrie Zhang</u> Supervisor Approved by: <u>Lainey Qin</u> Manager

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Signed for and on behalf of



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### **Test Results:**

No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pub	BL		
		Cods	BL		
1	Ivory hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		BP	BL		
		Cods	BL		
2	Transparent soft plastic with black printing	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pub	BL		
		Cods	BL		
3	Ivory hard plastic with black printing	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		BP	BL		
		Cd	BL	NA	Non comment
4	Transparent soft plastic with black printing	Hg	BL		
	with black printing	Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL-		
5	Grey foam	Hg	BL	NA	Non comment
		Cr	BL-		
		Br	BL		
		Pb	BL		
		Cd	BL	NA	
6	lvory soft plastic	Hg	BL		Non comment
		Cr	BL		1 5 7 7 7 7 5 7 7 7 7 7 7 7 7 7 7 7 7 7
		Br	BL		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
7	Silver metal with white coating	Hg	BL	NA	Non comment
	couting	Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
8	Silver metal with black coating	Hg	BL	NA	Non comment
	oceang	Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL	NA	Non comment
9	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL	NA	Non comment
		Cd	BL		
10	White hard plastic	Hg	BL		
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		
11	Red soft plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
L'he fe		Pb	BL		
		Cd	BL	NA	
12	Black soft plastic	Hg	BL		Non comment
		Cr	BL		
Store -		Br	BL		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
13	Black hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		en e
14	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL	NA	Non comment
15	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL	NA	Non comment
16	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
17	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
18	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
Street of		Br	NA		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
19	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		k
20	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		Non comment
21	Green hard plastic	Hg	BL	NA	
		Cr	BL		
		Br	BL		
		Pb	BL	NA	Non comment
		Cd	BL		
22	Translucent hard plastic	Hg	BL		
an the star		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		
23	Brown PCB	Hg	BL	PBBs:ND PBDEs:ND	Non comment
		Cr	BL		
		Br	X		
a the for		Pb	BL		
		Cd	BL	NA	
24	Silver metal	Hg	BL		Non comment
		Cr	BL		
Strates		Br	NA		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL.		
25	Black hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		en e
26	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
Keed S		Pb	BL		
		Cd	BL		Non comment
27	Silver metal	Hg	BL	NA	
		Cr	BL		
		Br	NA		
		Pb	BL	NA	Non comment
		Cd	BL		
28	Copper metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
29	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
Cherge C		Pb	BL		
		Cd	BL		
30	Black hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
Not C		Br	BL		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
31	White soft plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		k op de stat fan de stat de st Stat de stat de Stat de stat de
32	White soft plastic with orange printing	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL -	NA	Non comment
33	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL	NA	Non comment
		Cd	BL		
34	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
35	White hard plastic	Hg	BL	NA	Non comment
A State		Cr	BL		
		Br	BL		
A Set		Pb	BL		
		Cd	BL	NA	
36	White soft plastic with black printing	Hg	BL		Non comment
	Provide	Cr	BL		
Street a		Br	BL		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		0 - 5 - 5 - 6 - 5 - 6 - 6 - 6 - 6 - 6 - 6 - 5 - 6 - 6 - 6 - 6 - 6 - 6 - 6 - 6
37	Black hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		k a de la constante de la const El la constante de la constante
38	Black hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
NEE S		Pb	BL		
		Cd	BL -		Non comment
39	Green PCB	Hg	BL	PBBs:ND PBDEs:ND	
		Cr	BL		
i fezet		Br	X		
		Pb	BL		
		Cd	BL		Non comment
40	Black solid	Hg	BL	NA	
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		
41	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
42	Black soft plastic	Hg	BL	NA	Non comment
		Cr	BL		
S. K. A.		Br	BL		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
43	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		k
44	Copper metal with red plating	Hg	BL	NA	Non comment
	picting	Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL	NA	Non comment
45	Black hard plastic	Hg	BL		
		Cr	BL -		
		Br	BL		
		Pb	BL	NA	Non comment
		Cd	BL		
46	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
47	Copper metal	Hg	BL	NA	Non comment
		Cr	BL		
e e ante		Br	NA		
		Pb	BL		
		Cd	BL	NA	
48	Black solid	Hg	BL		Non comment
		Cr	BL		
Street a		Br	BL		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
49	Red solid	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		4 4 4 6 6 7 7 6 6 7 7 7 6 6 7 1 7 7 6 7 6 7 7 7 6 7 7 7 7 6 7
50	Brown solid	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
e sere e		Pb	BL		
		Cd	BL		Non comment
51	White hard plastic	Hg	BL	NA	
		Cr	BL +		
		Br	BL		
		Pb	BL	NA	Non comment
		Cd	BL		
52	Black solid	Hg	BL		
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		
53	Transparent soft plastic	Hg	BL	NA	Non comment
		Cr	BL		
Contraction of the		Br	BL		
		Pb	BL		
		Cd	BL	NA	
54	Silver metal	Hg	BL		Non comment
		Cr	BL		
Street e		Br	NA		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		
55	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		K A A A A A A A A A A A A A A A A A A A
56	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL	NA	Non comment
57	White hard plastic	Hg	BL		
		Cr	BL		
		Br	BL		
		Pb	BL	NA	Non comment
		Cd	BL		
58	Silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
59	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL	PBBs:ND PBDEs:ND	
60	White PCB	Hg	BL		Non comment
		Cr	BL		
a frage of		Br	X		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark
		Pb	BL		
		Cd	BL		0
61	Beige hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		KING CALENALAN SALAN SALAN SALAN
62	White hard plastic	Hg	BL	NA	Non comment
		Cr	BL		
		Br	BL		
		Pb	BL		
		Cd	BL		Non comment
63	Silver metal	Hg	BL	NA	
		Cr	BL		
		Br	NA		
		Pb	BL	NA	
		Cd	BL		Non comment
64	Dark silver metal	Hg	BL		
		Cr	BL		
		Br	NA		
		Pb	BL		
		Cd	BL		
65	Silver metal	Hg	BL	NA	Non comment
		Cr	BL		
		Br	NA		
2017020		Pb	BL		
		Cd	BL	NA	
66	Silver metal	Hg	BL		Non comment
		Cr	BL		
		Br	NA		





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark	
67	Black soft plastic with grey printing	Pb	BL			
		Cd	BL		Non comment	
		Hg	BL	NA		
		Cr	BL			
		Br	BL			
		Pb	BL			
		Cd	BL			
68	Brown paper	Hg	BL	NA	Non comment	
		Cr	BL			
		Br	BL			
		Pb	BL		Non comment	
		Cd	BL			
69	Black hard plastic	Hg	BL	NA		
		Cr	BL			
		Br	BL			
		Pb	BL		Non comment	
		Cd	BL			
70	Black soft plastic	Hg	BL	NA		
		Cr	BL			
		Br	BL			
		Pb	BL		Non comment	
	Black solid	Cd	BL			
71		Hg	BL	NA		
		Cr	BL			
		Br	BL			
	Copper metal	Pb	BL			
		Cd	BL			
72		Hg	BL	NA	Non comment	
A CAR		Cr	BL			
		Br	NA			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark	
73	Silver metal	Pb	BL			
		Cd	BL		Non comment	
		Hg	BL	NA		
		Cr	BL			
		Br	NA			
		Pb	BL			
		Cd	BL		Non comment	
74	White soft plastic	Hg	BL	NA		
		Cr	BL			
		Br	BL			
		Pb	BL			
		Cd	BL			
75	White soft plastic	Hg	BL	NA	Non comment	
		Cr	BL			
		Br	BL			
		Pb	BL		Non comment	
		Cd	BL			
76	Green soft plastic	Hg	BL	NA		
		Cr	BL			
		Br	BL			
	Copper metal	Pb	BL		Non comment	
		Cd	BL			
77		Hg	BL	NA		
		Cr	BL		1 5 6 6 7 6 7 6 7 6 7 6 6 7 7 6 6 7 2 2 2 6 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	
		Br	NA			
78	Black hard plastic	Pb	BL			
		Cd	BL			
		Hg	BL	NA	Non comment	
		Cr	BL			
		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark	
79	Silver metal	Pb	BL			
		Cd	BL		Non comment	
		Hg	BL	NA		
al shi ar al s E al shi ar a		Cr	BL			
		Br	NA			
		Pb	BL			
		Cd	BL			
80	Black hard plastic	Hg	BL	NA	Non comment	
		Cr	BL			
		Br	BL			
		Pb	BL		Non comment	
		Cd	BL			
81	Copper metal	Hg	BL	NA		
		Cr	BL			
		Br	NA			
	White hard plastic	Pb	BL		Non comment	
		Cd	BL			
82		Hg	BL	NA		
		Cr	BL			
		Br	BL			
	Silver metal	Pb	BL		Non comment	
		Cd	BL			
83		Hg	BL	NA		
		Cr	BL			
		Br	NA			
	White soft plastic	Pb	BL			
		Cd	BL			
84		Hg	BL	NA	Non comment	
		Cr	BL			
a frat		Br	BL			





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No.	Sample description	Restricted substances	Results of EDXRF <sup>(1)</sup>	Results of Chemical Testing <sup>(2)</sup> (mg/kg)	Remark	
85		Pb	BL			
		Cd	BL			
	Silver metal	Hg	BL	NA	Non comment	
		Cr	BL			
		Br	NA			
86		Pb	BL		Non comment	
		Cd	BL			
	Silver metal	Hg	BL	NA		
		Cr	BL			
		Br	NA			





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- Remark: (1) ① Results are obtained by XRF for primary screening, and further wet chemical testing by ICP-OES / AAS (for Cd, Pb, Hg), UV-VIS (for Cr(VI)) and GC/MS (for PBBs, PBDEs) is recommended to be performed, if an inconclusive result was found (as "X" in below table) (unit: mg/kg).
  - ② OL = Over Limit, BL = Below Limit, X = Inconclusive, NA= Not Applicable.
  - ③ The XRF screening test for RoHS elements The reading may be different to the actual content in the sample be of non-uniformity composition.

Element Polymer		Metal	Composite Materials	
Cd	$BL \leq (70-3\sigma) < X < (130+3\sigma) \\ \leq OL$	BL ≤(70-3 ♂)< X <(130+3 ♂) ≤ OL	LOD < X <(150+3 ♂ )≤ OL	
Pb	BL ≤(700-3 σ) < X <(1300+3 σ) ≤ OL	BL ≤(700-3 σ)< X <(1300+3 σ)≤ OL	BL ≤(500-3 σ)< X <(1500+3 σ)≤ OL	
Hg	BL ≤(700-3 σ)< X <(1300+3 σ)≤ OL	BL ≤(700-3 σ)< X <(1300+3 σ)≤ OL	BL ≤(500-3 σ)< X <(1500+3 σ)≤ OL	
Br	BL ≤ (300-3 σ )< X	NA	BL ≤ (250-3 <i>σ</i> )< X	
Cr	BL ≤ (700-3 σ )< X	BL ≤ (700-3 <i>σ</i> )< X	BL ≤ (500-3 <i>σ</i> )< X	

(2) ① mg/kg = ppm = 0.0001%, ND = Not Detected (Less than reporting limit value.).
② Unit, Reporting Limit (RL) and Requirement limit in wet chemical test.

Test items	Pb	Cd	Hg	Cr <sup>6+</sup> (Non-metal)	Cr <sup>6+</sup> (metal)	PBBs(single)	PBDEs(single)
Unit	mg/kg	mg/kg	mg/kg	mg/kg	mg/kg	mg/kg	mg/kg
RL	2	2	2	2	2	5	5
Requirement Limit	1000	100	1000	1000	Negative	1000	1000

③ According to IEC 62321-7-1:2015 & IEC 62321-7-2:2017, result on Cr<sup>6+</sup> for metal sample is shown as Positive/Negative.

Negative = Absence of Cr<sup>6+</sup> coating, Positive = Presence of Cr<sup>6+</sup> coating.

- Storage condition and production date of the tested sample are unavailable and thus results of Cr<sup>6+</sup> represent status of the sample at the time of testing.
- ④ According to IEC 62321-3-1:2013, this column represents the results of wet chem test. And "NA" means no need to perform wet chem test, when the XRF screening results are qualified.



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Photo Appendix





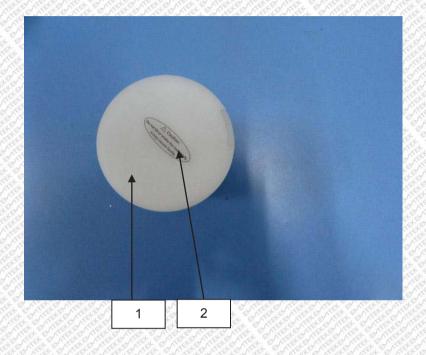


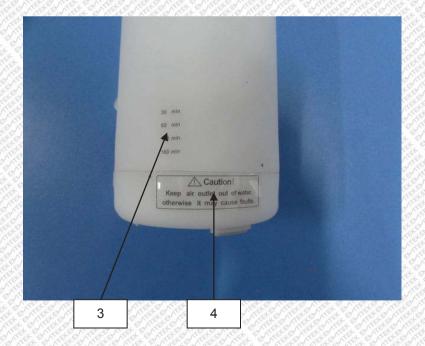
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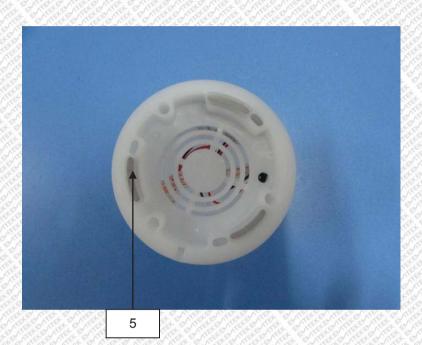


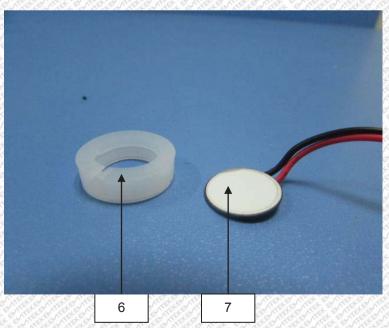
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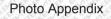


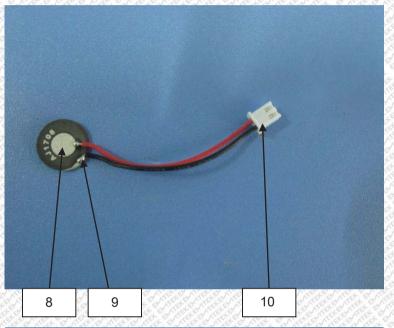


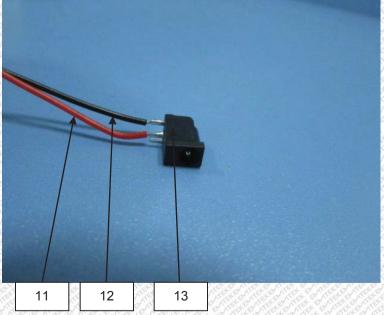
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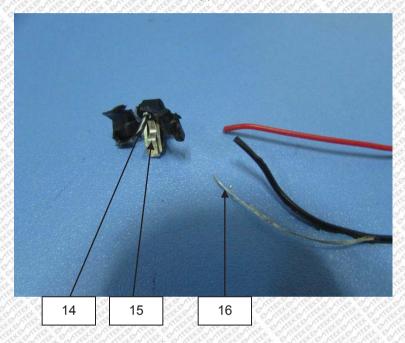


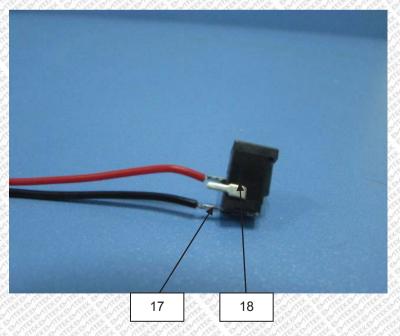
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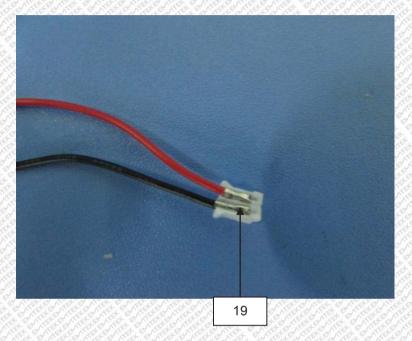


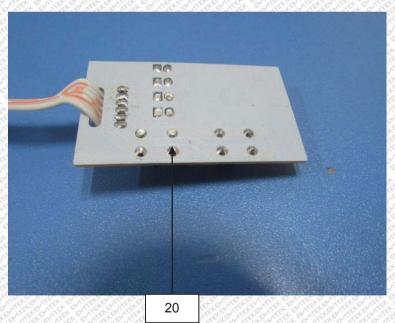
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Photo Appendix





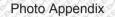


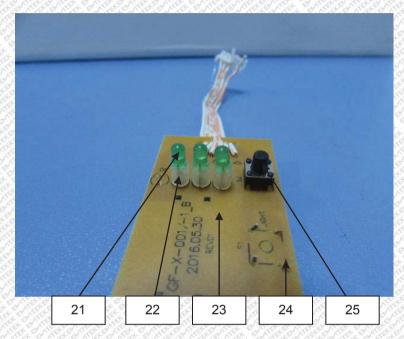


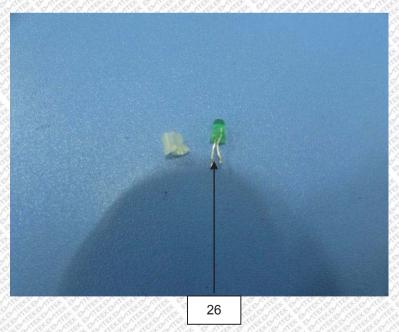
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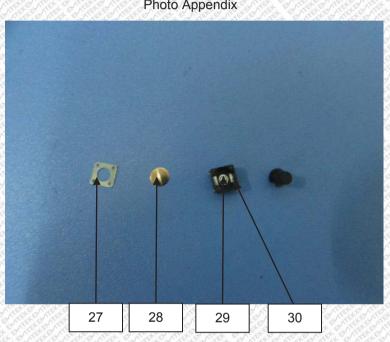


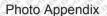


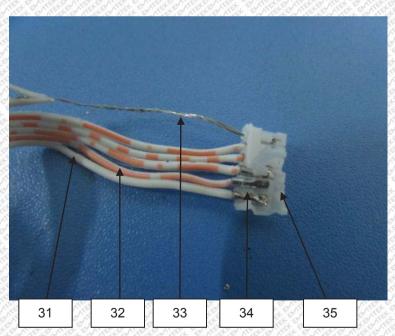
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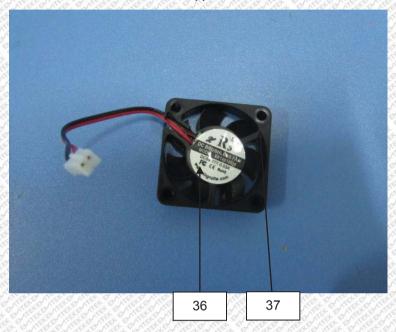


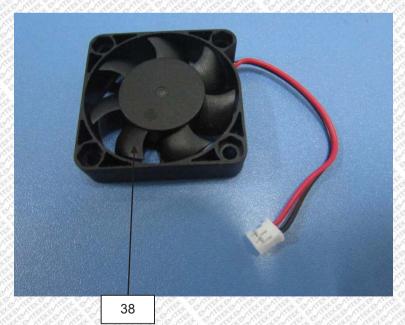
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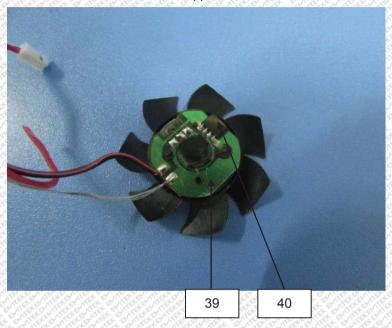


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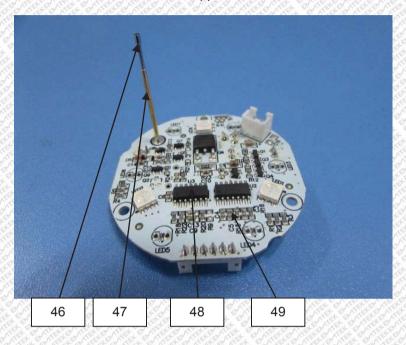


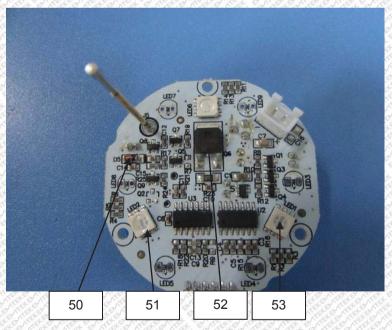
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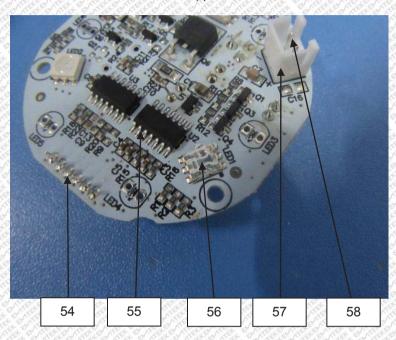


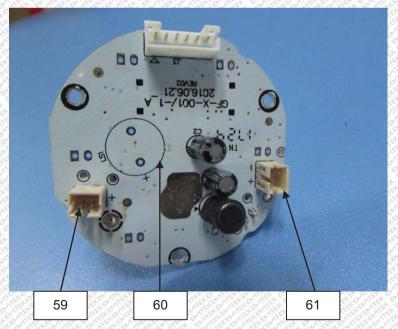
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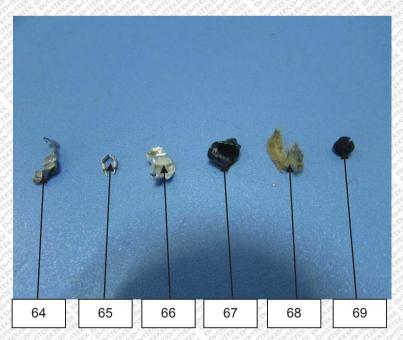
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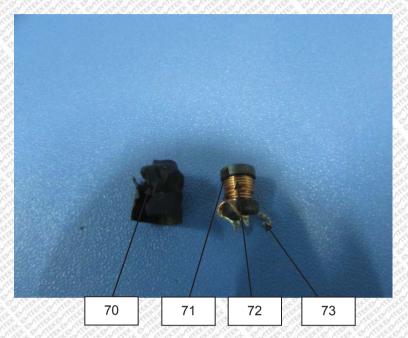


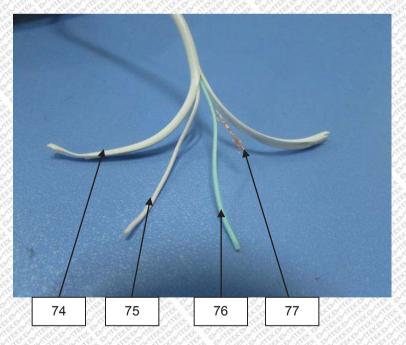
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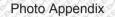




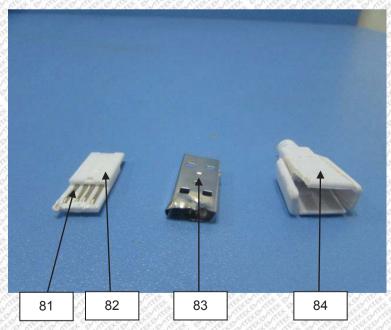
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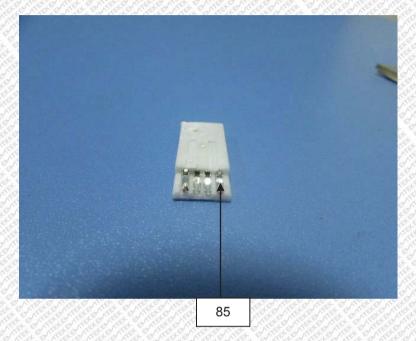


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### ANNEX

### EXEMPTION LIST

- Mercury in single capped (compact) fluorescent lamps not exceeding (per burner):
- 1(a) For general lighting purposes < 30W: 5mg (expires on 31 December 2011; 3.5mg may be used per burner after 31 December 2011 until 31 December 2012; 2.5mg shall be used per burner after 31 December 2012)</li>
- 1(b) For general lighting purposes ≥ 30W and <50W: 5mg (expires on 31 December 2011; 3.5mg may be used per burner after 31 December 2011)
- 1(c) For general lighting purposes  $\ge$  50W and <150W: 5mg
- 1(d) For general lighting purposes  $\geq$  150W: 15mg
- 1(e) For general lighting purposes with circular or square structural shape and tube diameter ≤17mm (no limitation of use until 31 December 2011; 7mg may be used per burner after 31 December 2011)
- 1(f) For special purposes: 5mg
- 1(g) For general lighting purposes < 30 W with a lifetime equal or above 20 000 h: 3,5 mg (Expires on 31 December 2017)
- 2(a) Mercury in double-capped linear fluorescent lamps for general lighting purples not exceeding (per lamp):
- 2(a)(1) Tri-band phosphor with normal lifetime and a tube diameter < 9mm (e.g. T2): 5mg (expires on 31 December 2011; 4mg may be used per lamp after 31 December 2011)
- 2(a)(2) Tri-band phosphor with normal lifetime and a tube diameter  $\geq$  9mm and  $\leq$  17mm (e.g. T5): 5mg (expires on 31 December 2011; 3mg may be used per lamp after 31 December 2011)
- 2(a)(3) Tri-band phosphor with normal lifetime and a tube diameter > 17mm and ≤ 28mm (e.g. T8): 5mg (expires on 31 December 2011; 3.5mg may be used per lamp after 31 December 2011)
- 2(a)(4) Tri-band phosphor with normal lifetime and a tube diameter > 28mm (e.g. T12): 5mg (expires on 31 December 2012; 3.5mg may be used per lamp after 31 December 2012)
- 2(a)(5) Tri-band phosphor with long lifetime (≥ 25000h): 8mg (expires on 31 December 2011; 5mg may be used per lamp after 31 December 2011)
- 2(b) Mercury in other fluorescent lamps not exceeding (per lamp):
- 2(b)(2) Non-linear halophosphate lamps (all diameters): 15mg (expires on 13 April 2016)
- 2(b)(3) Non-linear tri-band phosphor lamps with tube diameter > 17mm (e.g. T9) (no limitation of use until 31 December 2011; 15mg may be used per lamp after 31 December 2011)
- 2(b)(4) Lamps for other general lighting and special purposes (e.g. induction lamps) (no limitation of use until 31 December 2011; 15mg may be used per lamp after 31 December 2011)
- 3 Mercury in cold cathode fluorescent lamps and external electrode fluorescent lamps (CCFL and EEFL) for special purposes not exceeding (per lamp):
- 3(a) Short length (≤ 500mm) (No limitation of use until 31 December 2011; 3.5mg may be used per lamp after 31 December 2011)
- 3(b) Medium length (> 500m and ≤ 1500mm) (No limitation of use until 31 December 2011; 5mg may be used per lamp after 31 December 2011)
- 3(c)Long length (> 1500mm) (No limitation of use until 31 December 2011; 13mg may be used per lamp after 31 December 2011)4(a)Mercury in other low pressure discharge lamps (per lamp) (no limitation of use until 31 December 2011; 15mg may be used per
- lamp after 31 December 2011)
   4(b) Mercury in High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner) in lamps with improved colour rendering index Ra > 60:
- 4(b)-I P < 155W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)
- 4(b)-II 155W < P < 405W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)

4(b)-III P > 405W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)

- 4(c) Mercury in other High Pressure Sodium (vapour) lamps for general lighting purposes not exceeding (per burner):
- 4(c)-1  $P \le 155W$  (no limitation of use until 31 December 2011; 25mg may be used per burner after 31 December 2011)
- 4(c)-II  $155W < P \le 405W$  (no limitation of use until 31 December 2011; 30mg may be used per burner after 31 December 2011)
- 4(c)-III P > 405W (no limitation of use until 31 December 2011; 40mg may be used per burner after 31 December 2011)
- 4(d) Mercury in High Pressure Mercury (vapour) lamps (HPMV) (expires on 13 April 2015)
- 4(e) Mercury in metal halide lamps (MH)
- 4(f) Mercury in other discharge lamps for special purposes not specifically mentioned in this Annex
- 4(g) Mercury in hand crafted luminous discharge tubes used for signs, decorative or architectural and specialist lighting and lightartwork, where the mercury content shall be limited as follows: (Expires on 31 December 2018)
  - (a) 20 mg per electrode pair + 0,3 mg per tube length in cm, but not more than 80 mg, for outdoor applications and indoor applications exposed to temperatures below 20 ° C:
  - (b) 15 mg per electrode pair + 0.24 mg per tube length in cm, but not more than 80 mg, for all other indoor applications.





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### ANNEX

#### EXEMPTION LIST

#### Continued

- 5(a) Lead in glass of cathode ray tubes
- 5(b) Lead in glass of fluorescent tubes not exceeding 0.2% by weight
- 6(a) Lead as an alloying element in steel for machining purposes and in galvanized steel containing up to 0.35% lead by weight
- 6(b) Lead as an alloying element in aluminium containing up to 0.4% lead by weight
- 6(c) Copper alloy containing up to 4% lead by weight.
- 7(a) Lead in high melting temperature type solders (i.e. lead based alloys containing 85% by weight or more lead)
- 7(b) Lead in solders for servers, storage and storage array systems, network infrastructure equipment for switching, signalling, transmission, and network management for telecommunications
- 7(c)-1 Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectronic devices, or in a glass or ceramic matrix compound
- 7(c)-II Lead in dielectric ceramic in capacitors for a rated voltage of 125V AC or 250V DC or higher
- 7(c)-III Lead in dielectric ceramic in capacitors for a rated voltage of less than 125V AC or 250V DC (expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013).
- 7(c)-IV Lead in PZT based dielectric ceramic materials for capacitors being part of integrated circuits or discrete semiconductors
- 8(a) Cadmium and its compounds in one shot pellet type thermal cut-offs (expires on 1 January 2012 and after that date may be used in spare parts for EEE placed on the market before 1 January 2012)
- 8(b) Cadmium and its compounds in electrical contacts
- 9 Hexavalent chromium as an anti-corrosion agent of the carbon steel cooling system in absorption refrigerators up to 0.75% by weight in the cooling solution
- 9(b) Lead in bearing shells and bushes for refrigerant-containing compressors for heating, ventilation, air conditioning and refrigeration (HVACR) applications
- 11(b) Lead used in other than C-press compliant pin connector systems (expires on 1 January 2013 and after that date may be used in spare parts for EEE placed on the market before 1 January 2013)
- 13(a) Lead in white glasses used for optical applications
- 13(b) Cadmium and lead in filter glasses and glasses used for reflectance standards
- 14 Lead in solders consisting of more than two elements for the connection between the pins and the package of microprocessors with a lead content of more than 80% and less than 85% by weight (expires on 1 January 2011 and after that date may be used in spare parts for EEE placed on the market before 1 January 2011)
- 15 Lead in solders to complete a viable electrical connection between semiconductor die and carrier within integrated circuit Flip Chip packages
- 17 Lead halide as radiant agent in High Intensity Discharge (HID) lamps used for professional reprography applications
- 18(b) Lead as activator in the fluorescent powder (1% lead by weight or less) of discharge lamps when used as sun tanning lamps containing phosphors such as BSP (BaSi<sub>2</sub>O<sub>5</sub>:Pb)
- 21 Lead and cadmium in printing inks for the application of enamels on glasses, such as borosilicate and soda lime glass
- 24 Lead in solders for the soldering to machined through hole discoidal and planar array ceramic multilayer capacitors
- Lead oxide in surface conduction electron emitter displays (SED) used in structural elements, notably in the seal frit and frit ring Lead bound in crystal glass as defined in Annex 1 (Categories 1, 2, 3 and 4) of Council Directive 69/493/EEC
- 30 Cadmium alloys as electrical/mechanical solder joints to electrical conductors located directly on the voice coil in transducers used in high-powered loudspeakers with sound pressure levels of 100 dB (A) and more
- 31 Lead in soldering materials in mercury free flat fluorescent lamps (which e.g. are used for liquid crystal displays, design or industrial lighting)
- 32 Lead oxide in seal frit used for making window assemblies for Argon and Krypton laser tubes
- 33 Lead in solders for the soldering of thin copper wires of 100 µm diameter and less in power transformers
- 34 Lead in cermet-based trimmer potentiometer elements
- 37 Lead in the plating layer of high voltage diodes on the basis of a zinc borate glass body
- 38 Cadmium and cadmium oxide in thick film pastes used on aluminium bonded beryllium oxide
- 39 Cadmium in colour converting II-VI LEDs (< 10 μg Cd per mm<sup>2</sup> of light- emitting area) for use in solid state illumination or display systems (expires on 1 July 2014)
- 41 Lead in solders and termination finishes of electrical and electronic components and finishes of printed circuit boards used in ignition modules and other electrical and electronic engine control systems, which for technical reasons must be mounted directly on or in the crankcase or cylinder of hand-held combustion engines (classes SH:1, SH:2, SH:3 of Directive 97/68/EC of the European Parliament and of the Council (2)) (Expires on 31 December 2018)

